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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors:

Craft et al.

Ser. No:

10/706,398

Filing Date:

November 12, 2003

Examiner:

Unknown

Atty. Docket No:

ALA-007B

GAU:

Unknown

For:

PROTOCOL PROCESSING STACK FOR USE WITH INTELLIGENT

NETWORK INTERFACE DEVICE

June 2, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

Information Disclosure Statement per 37 C.F.R. §1.98

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants bring one-hundred and fifty-eight documents listed on the enclosed eight-page form PTO-1449 to the attention of the Examiner in the above-identified application.

Citation of these documents shall not be construed as an admission that the documents are prior art with respect to the instant invention, a representation that a search has been made, or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Copies of the documents listed on pages five through eight on the enclosed eight-page form PTO-1449 are not being submitted because they were submitted in an earlier application (10/208,093) which is relied upon for an earlier filing date under 35 U.S.C. §120. Copies of the U.S. Patent documents listed on pages one through four of the enclosed eight-page form PTO-1449 are not being submitted because this application was filed after June 30, 2003.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313, on June 2, 2004.

Date: 6-2-04

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Respectfully submitted,

Will I

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HEPRIVATION DISCLOSURE STATEMENT BY APPLICANT

Application No.: 10/706,398

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Inventors: Craft et al.

Group Art Unit: unknown

Examiner name: unknown

Attorney Docket No.: ALA-007B

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U.S. Patent Documents

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date,
Initial	A	2003/0165160	9/4/03	Minami et al.	370	466	If Appropriate
	В	2003/0066011	4/11/02	Oren	714	758	
•							<u> </u>
	С	2001/0053148	12/20/01	Bilic et al.	370	389	
·	D	2001/0048681	12/6/01	Bilic et al.	370	389	
	E	2001/0025315	01/10/01	Jolitz	709	231	-
	F	2001/0014954	8/16/01	Purcell et al.	714	4	
	G	2001/0014892	8/16/01	Gaither et al.	707	200	
	Н	2001/0013059	8/9/01	Dawson et al.	709	217	
	ı	2001/0004354	01/10/01	Jolitz	370	328	
	J	4,366,538	12/28/82	Johnson et al.	364	200	
	К	4,589,063	5/13/86	Shah et al.	710	8	
	L	4,991,133	5/13/86	Davis et al.	364	900	
	М	5,056,058	10/8/91	Hirata et al.	709	230	
	N	5,058,110	10/15/91	Beach et al.	370	85.6	
	0	5,097,442	3/17/92	Ward et al.	365	78	
	Р	5,163,131	11/10/92	Row et al.	395	200	
	Q	5,212,778	5/18/93	Dally et al.	395	400	
	R	5,280,477	1/18/94	Тгарр	370	85.1	
	S	5,289,580	2/22/94	Latif et al.	395	275	
	Т	5,303,344	4/12/94	Yokoyama et al.	395	200	
	U	5,412,782	5/2/95	Hausman et al.	395	250	
	V	5,448,566	9/5/95	Richter et al.	370	94.1	
	W	5,485,579	1/16/96	Hitz et al.	395	200.12	
	X	5,506,966	4/9/96	Ban	395	250	
	Y	5,511,169	4/23/96	Suda	395	280	
	Z	5,548,730	8/20/96	Young et al.	395	280	
	AA	5,566,170	10/15/96	Bakke et al.	370	60	
-	AB	5,588,121	12/24/96	Reddin et al.	395	200.15	

Examiner

Date Considered

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Attorney Docket No.: ALA-007B

U.S. Department of Commerce, Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT BY APPLICANT Filing date: November 12, 2003 Inventors: Craft et al. Group Art Unit: unknown PROTOCOL PROCESSING STACK FOR USE WITH Examiner name: unknown

INTELLIGENT NETWORK INTERFACE DEVICE

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date,
Initial	AC	5,590,328	12/31/96	Seno et al.	395	675	If Appropriate
	AD	5,592,622	1/7/97	Isfeld et al.	395	200.02	
	AE	5,598,410	1/28/1997	Stone	370	469	
•	AF	5,629,933	5/13/97	Delp et al.	370	411	
<u>.</u>	AG	5,634,099	5/27/97	Andrews et al.	395	200.07	
	AH	5,634,127	5/27/97	Cloud et al.	395	680	
	Αİ	5,642,482	6/24/97	Pardillos	395	200.2	, ,
	AJ	5,664,114	09/02/97	Krech, Jr. et al.	395	200.64	
	AK	5,671,355	9/23/97	Collins	395	200.2	
	AL	5,678,060	10/14/97	Yokoyama et al.	709	212	
	AM	5,692,130	11/25/97	Shobu et al.	395	200.12	
	AN	5,699,317	12/16/97	Sartore et al.	395	230.06	
	AO	5,701,434	12/23/97	Nakagawa	395	484	
	AP	5,701,516	12/23/97	Cheng et al.	395	842	
	AQ	5,749,095	5/5/98	Hagersten	711	141	
	AR	5,751,715	5/12/98	Chan et al.	370	455	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
	AS	5,752,078	5/12/98	Delp et al.	395	827	
. <u> </u>	AT	5,758,084	5/26/08	Silverstein et al.	395	200.58	
	AU	5,758,089	5/26/08	Gentry et al.	395	200.64	
	AV	5,758,186	5/26/08	Hamilton et al.	395	831	
	AW	5,758,194	5/26/08	Kuzma	395	886	
	AX	5,771,349	06/23/98	Picazo, Jr. et al.	395	188.01	
	AY	5,778,013	7/7/98	Jedwab	714	807	
	ΑŻ	5,790,804	8/4/98	Osborne	709	245	
	ВА	5,794,061	08/11/98	Hansen et al.	395	800.01	
	вв	5,802,580	09/01/98	McAlpice	711	149	
	ВС	5,809,328	09/15/98	Nogales et al.	395	825	
	BD	5,812,775	9/22/98	Van Seeters et al.	395	200.43	

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U.S. Department of Commerce, Patent and Trademark Office Application No.: 10/706,398 Filing date: November 12, 2003 INFORMATION DISCLOSURE STATEMENT BY APPLICANT Inventors: Craft et al. Group Art Unit: unknown PROTOCOL PROCESSING STACK FOR USE WITH Examiner name: unknown INTELLIGENT NETWORK INTERFACE DEVICE Attorney Docket No.: ALA-007B U.S. Patent Documents *Examiner Document Filing Date, Date Name Class Initial Number Subclass If Appropriate BE 5,815,646 09/29/98 Purcell et al. 395 163 BF 5.878.225 3/2/99 Bilansky et al. 395 200.57 BG 5,913,028 6/15/99 395 200.33 Wang, et al. ВН 5,930,830 7/27/99 Mendelson et al. 711 171 ВΙ 5,931,918 08/03/99 Row et al. 709 300 BJ 5,935,205 08/10/99 Murayama et al. 709 216 BK 5.937,169 08/10/99 Connery et al. 395 200.8 BL 5,941,969 08/24/99 Ram et al. 710 128 ВМ 5,941,972 08/24/99 Hoese et al. 710 129 5,950,203 BN 09/07/99 Stakuis et al. 707 10 ВО 5,970,804 8/4/98 Osborne 395 200.75 BP 5,991,299 11/23/99 370 392 Radogna et al. BQ 5,996,024 11/30/99 Blumenau 709 301 BR 6,005,849 12/21/99 Roach et al. 370 276 BS 6,009,478 12/28/99 Panner, et al. 710 5 BT 6,016,513 01/18/00 Glen H. Lowe 709 250 BU 6,021,446 303 02/01/00 Gentry et al. 709 BV 6,026,452 02/15/00 William Michael Pitts 56 710 401 BW 6,034,963 3/7/00 Minami et al. 370 BX 6,038,562 3/14/00 707 Anjur et al. 10 **Howard Thomas** BY 6,044,438 03/28/00 130 711 Olnowich ΒZ 6,047,356 04/04/00 Anderson et al. 711 129 CA 6,057,863 05/02/00 Sompong P. Olarig 345 520 CB 6,061,368 5/9/00 Hitzelberger 370 537 CC 05/16/00 6,065,096 Day et al. 711 114 CD 6,070,200 5/30/00 Gates et al. 710 20 CE 6,101,555 8/8/00 Goshey et al. 709 321 CF 6,141,705 10/31/00 Anand et al. 710 15 Examiner **Date Considered**

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Inventors: Craft et al.

Group Art Unit: unknown

PROTOCOL PROCESSING STACK FOR USE WITH INTELLIGENT NETWORK INTERFACE DEVICE

Examiner name: unknown

Attorney Docket No.: ALA-007B

U.S. Patent Documents

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date, If Appropriate
-	CG	6,145,017	11/7/00	Ghaffari	710	5	
	СН	6,157,955	12/5/00	Narad et al.	709	228	
	CI	6,172,980	1/9/01	Flanders et al.	370	401	
•	CJ	6,173,333	07/18/97	Jolitz et al.			
	СК	6,202,105	3/13/01	Gates et al.	710	20	
	CL	6,226,680	05/01/01	Boucher et al.	709	230	
	СМ	6,246,683	06/12/01	Connery et al.	370	392	
	CN	6,247,060	06/12/01	Boucher et al.	709	238	
	со	6,279,051	8/21/01	Gates et al.	710	20	
	СР	6,298,403	10/2/01	Suri et al.	710	100	
	CQ	6,334,153	12/25/01	Boucher et al.	709	230	
	CR	6,345,301	02/05/02	Burns et al.	709	230	
	cs	6,356,951	03/12/02	Gentry et al.	709	250	
	СТ	6,389,468	05/14/02	Muller et al.	709	226	
	CU	6,389,479	5/14/02	Boucher	709	243	
	CV	6,421,742	7/16/02	Tillier	710	1	
	CW	6,427,169	07/30/02	Elzur	709	224	
	СХ	6,434,651	08/13/02	Gentry, Jr.	710	260	
	CY	6,449,656	09/10/02	Elzur et al.	709	. 236	
	CZ	6,453,360	09/17/02	Muller et al.	709	250	_
	DA	6,480,489	11/12/02	Muller et al.	370	389	
	DB	6,526,446	2/25/03	Yang et al.	709	230	
	DC						
	DD						
	DE						
	DF]	
	DG						
	DH						

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	_	OTHER ART—NON PATENT LITERATURE D	OCUMENTS			
*Examiner Initial	Cite No.	(Including Author, Title, Date, Pertinent Pages, Etc.)				
	1	Internet pages entitled "Hardware Assisted Protocol Proworking on), 1 page, printed 11/25/98.	es entitled "Hardware Assisted Protocol Processing", (which Eugene Feinber is 1 page, printed 11/25/98.			
٠	2	Zilog product Brief entitled "Z85C30 CMOS SCC Serial pages, 1997.	Brief entitled "Z85C30 CMOS SCC Serial Communication Controller", Zilog Inc., 3			
	3	Internet pages of Xpoint Technologies, Inc. entitled "Sn 12/19/97.	es of Xpoint Technologies, Inc. entitled "Smart LAN Work Requests", 5 pages, printed			
•	4	Internet pages entitled: Asante and 100BASE-T Fast Ethernet. 7 pages, printed 5/27/97.				
	5	Internet pages entitled: A Guide to the Paragon XP/S-A7 Supercomputer at Indiana University. 13 pages, printed 12/21/98.				
	6	Richard Stevens, "TCP/IP Illustrated, Volume 1, The Protocols", pages 325-326 (1994).				
	7	Internet pages entitled: Northridge/Southbridge vs. Intel Hub Architecture, 4 pages, printed 2/19/01.				
	8	Gigabit Ethernet Technical Brief, Achieving End-to-End Performance. Alteon Networks, Inc., Fire Edition, September 1996, 15 pages.				
	9	Internet pages directed to Technical Brief on Alteon Ethernet Gigabit NIC technology, www.alteon.com, 14 pages, printed 3/15/97.				
	10	VIA Technologies, Inc. article entitled "VT8501 Apollo MVP4", pages i-iv, 1-11, cover and copyright page, revision 1.3, Feb. 1, 2000.				
	11	iReady News Archives article entitled "iReady Rounding Out Management Team with Two Key Executives", http://www.ireadyco.com/archives/keyexec.html, 2 pages, printed 11/28/98.				
	12	"Toshiba Delivers First Chips to Make Consumer Devices Internet-Ready Based On iReady's Design," Press Release October, 1998, 3 pages, printed 11/28/98.				
	13	Internet pages from iReady Products, web sitehttp://www.ireadyco.com/products,html, 2 pages, downloaded 11/25/98.				
	14	iReady News Archives, Toshiba, iReady shipping Internet chip, 1 page, printed 11/25/98.				
	15 .	Interprophet article entitled "Technology", http://www.interprophet.com/technology.html, 17 pages, printed 3/1/00.				
Examiner		Date Considered				

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OTHER ART—NON PATENT LITERATURE DOCUMENTS *Examiner Cite (Including Author, Title, Date, Pertinent Pages, Etc.) Initial No. iReady Corporation, article entitled "The I-1000 Internet Tuner", 2 pages, date unknown. 16 iReady article entitled "About Us Introduction", Internet pages 17 fromhttp://www.iReadyco.com/about.html, 3 pages, printed 11/25/98. iReady News Archive article entitled "Revolutionary Approach to Consumer Electronics Internet 18 Connectivity Funded", San Jose, CA, November 20,1997. 2 pages, printed 11/2/98. iReady News Archive article entitled "Seiko Instruments Inc. (SII) INTRODUCES WORLD'S FIRST INTERNET-READY INTELLIGENT LCD MODULES BASED ON IREADY 19 TECHNOLOGY," Santa Clara, CA and Chiba, Japan, October 26, 1998. 2 pages, printed 11/2/98. NEWSwatch article entitled "iReady internet Tuner to Web Enable Devices", Tuesday, November 20 5, 1996, printed 11/2/98, 2 pages. EETimes article entitled "Tuner for Toshiba, Toshiba Taps iReady for Internet Tuner", by David 21 Lammers, 2 pages, printed 11/02/98. "Comparison of Novell Netware and TCP/IP Protocol Architectures", by J.S. Carbone, 19 pages, 22 printed 4/10/98. 23 Adaptec article entitled "AEA-7110C-a DuraSAN product", 11 pages, printed 10/1/01. iSCSI HBA article entitled "iSCSI and 2Gigabit fibre Channel Host Bus Adapters from Emulex, 24 QLogic, Adaptec, JNI", 8 pages, printed 10/01/01. iSCSI HBA article entitled "FCE-3210/6410 32 and 64-bit PCI-to-Fibre Channel HBA", 6 pages, 25 printed 10/01/01. ISCSI.com article entitled "iSCSI Storage", 2 pages, printed 10/01/01. 26 "Two-Way TCP Traffic Over Rate Controlled Channels: Effects and Analysis", by Kalampoukas et 27 al., IEEE Transactions on Networking, vol. 6, no. 6, December 1998, 17 pages. Ready News article entitled "Toshiba Delivers First Chips to Make Consumer Devices Internet-28 Ready Based on iReady Design", Santa Clara, CA, and Tokyo, Japan, October 14, 1998, printed 11/2/98, 3 pages. Internet pages of InterProphet entitled "Frequently Asked Questions", by Lynne Jolitz, printed 29 6/14/00, 4 pages. "File System Design For An NFS File Server Appliance", Article by D. Hitz, et al., 13 pages. 30

Examiner

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U.S. Department of Commerce, Patent and Trademark Office	Application No.: 10/706,398
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	Group Art Unit: unknown
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		OTHER	ART—NON PATENT LITERATURE D	OCUMENTS		
*Examiner Initial	Cite No.	(Including Author, Title, Date, Pertinent Pages, Etc.)				
•	31	Adaptec Press Release article entitled "Adaptec Announces EtherStorage Technology May 4, 2000, printed 6/14/00.				
•	32	Adaptec article entitled "EtherStorage Frequently Asked Questions", 5 pages, printed 7/19/00. Adaptec article entitled "EtherStorage White Paper", 7 pages, printed 7/19/00.				
•	33					
•	CIBC World Markets article entitled "Computers; Storage", by J. Berlino et al., 9 pages, of August 7, 2000.					
	Merrill Lynch article entitled "Storage Futures", by S. Milunovich, 22 pages, dated May CBS Market Watch article entitled "Montreal Start-Up Battles Data Storage Botttleneck Taylor, dated March 5, 2000, 2 pages, printed 3/7/00.					
	37	Internet-draft article entitled "SCSI/TCP (SCSI over TCP)", by J. Satran et al., 38 pages, February 2000, printed 5/19/00. Internet pages entitled "Technical White Paper-Xpoint's Disk to LAN Acceleration Solution Windows NT Server, printed 6/5/97, 15 pages.				
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EETimes article entitled "Enterprise System Uses Flexible Spec, 11/25/98, 3 pages.			ible Spec, dated August 10,1998, printed			
	41	Internet pages of Yasti corporation entitled "CigaRower Protect Processor Product Pages				
	42					
	43	Internet pages entitled "DART: Fast Application Level Networking via Data-Copy Avoidance," by Robert J. Walsh, printed 6/3/99, 25 pages.				
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